

Electoral Commission of Queensland retention and disposal schedule

Authorised 14 December 2017

An authorisation under s.26 of the *Public Records Act 2002* for the disposal of core business records created by the Electoral Commission of Queensland, the Queensland Redistribution Commission and the Change Commission.

Where printed, this reproduction is only accurate at the time of printing. Queensland State Archives' website should always be referred to for the current, authorised version.



Using this schedule

The Electoral Commission of Queensland retention and disposal schedule authorises the disposal of core business records created by the Electoral Commission of Queensland, the Queensland Redistribution Commission and the Change Commission. It applies to records created in any format, unless otherwise specified in the class description.

The Electoral Commission of Queensland retention and disposal schedule can be used in conjunction with the <u>General retention and disposal schedule</u> (GRDS). Record classes in the Common Activities section of the GRDS can be applied to any function undertaken by the agency, provided the retention period meets all of the agency's specific regulatory requirements and there are no exclusions listed. Schedules should be reviewed at least every 5 years.

Any references to repealed legislation may be taken as a reference to current legislation if the context permits.

Any previously authorised retention and disposal schedule covering record classes described in this schedule is now superseded and previous versions should be removed from use. It is the agency's responsibility to maintain the current approved schedule within their business practices and systems. Revoked schedules include:

• QDAN497 v.2

When this schedule should not be used

It is an offence under the *Criminal Code Act 1899* (s.129) 'for a person, who knowing something is or may be needed in evidence in a judicial proceeding, damages it with intent to stop it being used in evidence'. A duty of care exists for agencies to ensure records that may be needed in evidence in a judicial proceeding, including any legal action or a Commission of Inquiry, are not disposed of. Internal processes should be implemented to meet this obligation, which may include consultation with your legal or Right to Information area or issuing an internal records disposal freeze if it is reasonably expected that a judicial proceeding may occur e.g. retaining property files that may contain information on the use of asbestos in buildings.

Additionally, any group of records covered by a disposal freeze issued by the State Archivist cannot be disposed of while the freeze is in place. Disposal freezes generally relate to a particular topic or event which has gained prominence or provokes controversy. Further information about current disposal freezes and whether they affect the use of this schedule is available from the Queensland State Archives <u>website</u> under Destroy.

Records which are subject to a request for access under the *Right to Information Act 2009*, the *Information Privacy Act 2009* or any other relevant Act must not be destroyed until the action, and any applicable appeal period, has been completed.

Schedule layout

Each class has been allocated a unique number to aid with the disposal of records. Further implementation information is available on the Queensland State Archives <u>website</u>.

Records created before 1950

Records described in this schedule that were created before 1950 should be referred to Queensland State Archives for further appraisal before any disposal action is taken by the agency. Further information about pre-1950 records is available from the Queensland State Archives website under <u>Sentencing</u>.

Disposal

No further authorisation is required from the State Archivist for the records disposed of under this schedule. However, disposal must be appropriately documented in accordance with *Information Standard 31: Retention and Disposal of Public Records*. Approval from your CEO or authorised delegate is also required prior to disposal.

Any disposal of public records without authorisation from the State Archivist may be a breach of the Public Records Act 2002 (s. 13).

How we can help?

More information on implementing schedules is available on the Queensland State Archives <u>website</u>. Any enquiries about this schedule or recordkeeping should be directed in the first instance to your Records Manager. If further information is required, please contact Queensland State Archives on (07) 3037 6630 email <u>rkqueries@archives.qld.gov.au</u>.

Approved by State Archivist: Mike Summerell

Date: 14 December 2017

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ELECTORAL BOUNDARIES MANAGEMENT

Reviewing and managing the State and Local Government electoral boundaries in Queensland.

Disposal Authorisation	Description of records	Retention period & trigger
BOUNDARY F	REVIEWING	
Assessing and Governments.	reviewing State electoral boundaries, Local Government internal and external electoral boundaries, and the	composition of Local
	al retention and disposal schedule (GRDS) for background research records such as copies of reports, public or determinations).	cations, working documents
1976	Electoral boundary maps	Permanent.
	Master set of maps of State and Local Government electoral boundaries.	Transfer to QSA after business action completed.
1977	Local Government boundary review determinations	Permanent
	Records relating to the assessment and review of internal and external Local Government boundaries and the composition of Local Governments, including Brisbane City Council, by the Change Commission.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 notices of the results of a Local Government's review of the proportion of electors in its divisions/wards 	
	scope of the review, e.g. proposals from the Minister for a Local Government change	
	Commission meeting agenda, minutes and tabled reports	
	applications from the council, Minister or Commission, to review internal or external boundary	
	 written directions from the Minister to the Change Commission to conduct its assessment of a proposed Local Government or boundary change in a particular way 	
	submissions from any Local Government that would be affected by the proposed boundary change	
	 suggestions, comments and determination of proposed alterations to Local Government internal and external boundaries 	
	analysis and reasoning for proposed alterations to distribution of the State electorates	
	 notices of the results of the Change Commission's assessment 	

Disposal Authorisation	Description of records	Retention period & trigge
	recommendations to the Governor in Council to implement the Change Commission's assessment.	
	See the <u>General retention and disposal schedule</u> (GRDS) for records relating to the Annual Report which includes reports on the Change Commission's operations during each financial year.	
1978	State Electoral redistribution determinations	Permanent
	Records relating to the redistribution of State electoral boundaries by the Queensland Redistribution Commission.	Transfer to QSA after business action completed
	Records may include, but are not limited to:	
	 appointment of members of the Commission by the Governor in General 	
	 scope of redistribution and the role of members of the Commission 	
	Commission meeting agenda, minutes and tabled documents	
	 suggestions, objections, comments and determination of proposed alterations 	
	 analysis and reasoning for proposed alterations to distribution of the State electorates 	
	 notices of the proposed and final redistribution 	
	 map(s), names and descriptions of boundaries for proposed and final electoral districts, and reasons for redistributing the State in that way 	
	records for display and inspection	
	reports submitted to the Minister	
	See the General retention and disposal schedule (GRDS) for copies of - suggestions, comments,	
	information made available for public inspection, objections and notices	

undertaken by the Commission as the Queensland Redistribution Commission or the Change Commission.

Disposal Authorisation	Description of records	Retention period & trigger
1979	Local Government boundary reviews	8 years after business
	Records relating to managing consultation with key stakeholders and the general public on changes to Local Government electoral boundaries, including Brisbane City Council electoral ward boundaries, as undertaken by the Change Commission.	action completed.
	Records may include, but are not limited to:	
	 arrangements for meetings and public hearings 	
	scheduling of meetings	
	 notices of public submission dates and inquiry dates 	
1980	State electoral redistributions	8 years after business action completed.
	Records relating to managing consultation with key stakeholders and the general public on changes to State electoral boundaries as part of redistributions undertaken by the Queensland Redistribution Commission.	
	Records may include, but are not limited to:	
	• invitations for suggestions, comments and objections to the redistribution and proposed changes	
	 notices of availability of objections and comments for public inspection 	
	arrangements for meetings and public hearings	
	scheduling of meetings	
	 notices of public submission dates and inquiry dates. 	

ELECTORAL EVENT MANAGEMENT

Managing electoral events such as State and Local Government elections, by-elections and referenda conducted by the Commission.

See <u>INDUSTRIAL ELECTION MANAGEMENT</u> for records relating to the conduct of elections for industrial organisations, proposed amalgamation or withdrawal ballots, and protected action ballots.

Disposal Authorisation	Description of records	Retention period & trigger
ADMINISTRAT		
Planning and p	performing administrative arrangements for the conduct of electoral events by the Commission.	
See the <u>Gener</u>	al retention and disposal schedule (GRDS) for records relating to:	
acquisit	tion, maintenance and disposal of equipment	
advertis	sing	
advice	provided by call centres	
 product 	tion of materials	
 hiring a 	nd leasing of facilities	
 recruitn 	nent, training and payment of personnel	
 security 	/ arrangements.	
1981	Referendum arguments	Permanent.
	Records relating to the receipt and distribution of arguments for or against a referendum Bill or question in accordance with the <i>Referendums Act 1997</i> .	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 referendum arguments received from members of the Legislative Assembly 	
	 pamphlets containing referendum arguments posted to electors. 	
1982	Arrangements for electoral events	Until completion of
	Records relating to the administrative and logistical arrangements for the conduct of electoral events by the Commission.	subsequent electoral even
	Records may include, but are not limited to:	

Disposal Authorisation	Description of records	Retention period & trigger
	call centre arrangements	
	 polling booth and declared institution arrangements 	
	 pre-poll voting centre arrangements, including interstate and overseas centres 	
	 office accommodation provided to returning officers 	
	results centre/tally room arrangements	
	 declarations, notices and timetables issued in relation to electoral events 	
	 supply and distribution of electoral materials and equipment. 	
AUTHORISAT	ION	
Issuing and rea	turning writs authorising the Commission to conduct electoral events (including referenda).	
1983	Writ correspondence files	Permanent.
	Records relating to the issue and return of writs for State elections and referenda.	Transfer to QSA after
	Records may include, but are not limited to:	business action completed.
	 copies of the writs for State elections and referenda 	
	 copies of the Bills or statements of questions attached to referenda writs 	
	 copies of the results of electoral events that are annotated on the writ 	
	 correspondence between the Commission and the Governor of Queensland or the Speaker of the Legislative Assembly in relation to the issue and return of writs. 	
1984	Election writs – issued by the Governor	Until finalisation of election
	Writs to conduct a State election issued to the Commission by the Governor of Queensland in accordance with the <i>Electoral Act 1992</i> .	results and then return to the Governor of Queensland.
	Records may include, but are not limited to:	Queensiand.
	 name of each elected candidate annotated on the writ by the Commission. 	
1985	Election writs – issued by the Speaker	Until the finalisation of
	Writs to conduct a State election issued to the Commission by the Speaker of the Legislative Assembly in accordance with the <i>Electoral Act 1992</i> .	election results and then return to the Speaker of the Legislative Assembly.

Disposal Authorisation	Description of records	Retention period & trigger
	Records may include, but are not limited to:	
	 name of each elected candidate annotated on the writ by the Commission. 	
1986	Referenda writs Writs to conduct a referendum issued to the Commission by the Governor of Queensland in accordance with the <i>Referendums Act 1997</i> .	Until the finalisation of referendum results and then return to the Governor of Queensland.
	Records may include, but are not limited to:	
	 total number of yes and no votes, and whether the referendum has been approved by a majority of electors, annotated on the writ by the Commission. 	
CANDIDATE N	IOMINATION & LIAISON	
Liaising with ca	andidates and regulating nomination procedures for elections.	
1987	 Ballot paper draws Records relating to the conduct of ballot draws for candidates' positions on ballot papers. Records may include, but are not limited to: notices of the time, day and place for ballot draws results of ballot draws. 	Until issue of writ for next election.
1988	 Candidate nominations Records relating to the nomination of candidates for elections. Records may include, but are not limited to: notices stating the nomination day and inviting nominations of candidates nominations for candidates and supporting documents nomination certificates given to nominated persons by the returning officer notices that a person is not properly nominated notices of withdrawal of candidates' nominations notices of the names of all persons properly nominated for their electoral district records of the membership of groups of candidates 	Until completion of subsequent election.

Disposal Authorisation	Description of records	Retention period & trigger
	 notices of appointment of scrutineers for candidates. 	
	See the <u>General retention and disposal schedule</u> (GRDS) for financial records relating to the payment and refund of candidate nomination fees.	
1989	Contact with candidates	Until completion of
	Records relating to the Commission's contact with nominated candidates for electoral events.	subsequent election.
	Records may include, but are not limited to:	
	copies of the electoral roll distributed to candidates	
	 notices given to candidates regarding pre-poll and polling booth arrangements 	
	 notices given to candidates regarding the final result of the poll. 	
1990	How-to-vote cards	Until completion of
	Records relating to the lodgement and distribution of how-to-vote cards by candidates for elections.	subsequent election.
	Records may include, but are not limited to:	
	 requests from candidates for distribution of how-to-vote cards at mobile polling booths 	
	 lodgements of how-to-vote cards with the Commission 	
	 statutory declarations relating to any financial contribution received in relation to the production of how-to-vote cards 	
	 reasons for the Commission's rejection of how-to-vote cards. 	
1991	Register of candidates	Until completion of
	Records relating to the Register of candidates which is to be kept in accordance with the <i>Electoral Act</i> 1992.	subsequent election.
	Records may include, but are not limited to:	
	 entries containing the information, and a summary of the content of any statement, set out in candidates' nominations 	
	 applications from candidates to have the register entries corrected. 	

Disposal Authorisation	Description of records	Retention period & trigger
ELECTORAL	OFFENCES & ENFORCEMENT	
Ensuring that e	electoral events are conducted in accordance with legislation including the issuing of penalties for infringeme	nts (such as failure to vote).
See the Gener	al retention and disposal schedule (GRDS) for financial records relating to payment of penalties.	
1992	Disputes	Permanent.
	Records relating to the dispute of electoral events conducted by the Commission.	Transfer to QSA after
	Records may include, but are not limited to:	business action completed.
	 applications to dispute an election or referendum, which are filed by the Commission 	
	copies of applications to dispute an election or referendum, which are not filed by the Commission	
	 orders from the Court of Disputed Returns requiring the Commission to give specific documents and other things held in relation to an election or referendum 	
	 notices of proceedings to be commenced 	
	 applications by the Commission to the Court for an order dismissing the application disputing the election or referendum due to excessive delay 	
	 copies of the Court of Disputed Returns' final orders 	
	 notices of appeals to a decision or order made by the Court of Disputed Returns 	
	 applications by the Commission to the Court for an order dismissing the appeal due to excessive delay 	
	copies of the Court Appeal's final orders.	
1993	Injunctions	Permanent.
	Records relating to injunctions made by electors, candidates in an election, members of the Legislative Assembly, or the Commission.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 applications for injunctions made to the Supreme Court by the Commission. 	
1994	Non-voters	84 years from date of birth.
	Records relating to non-voter activities for elections and referenda.	
	Records may include, but are not limited to:	

Disposal Authorisation	Description of records	Retention period & trigger
	 notices issued to, and received from, electors who appear to have failed to vote 	
	correspondence and investigation records	
	offence histories.	
	See <u>Other penalties</u> for records relating to other penalties issued under relevant legislation.	
1995	Other penalties	Until completion of second
	Records relating to penalties imposed in accordance with the <i>Electoral Act 1992</i> , the <i>Referendums Act 1997</i> and the <i>Local Government Electoral Act 2011</i> other than those issued in relation to non-voter activities.	subsequent electoral event or until court action is finalised, whichever is longer.
	Records may include, but are not limited to:	
	correspondence regarding offences, including liaison with Queensland Police Service (QPS)	
	investigation reports	
	 legal advice regarding possible breaches of the Acts 	
	notification of outcomes.	
	See <u>Non-voters</u> for records relating to non-voter activities for elections and referenda.	
VOTING		
	ng processes involved in the conduct of electoral events by the Commission including the issuing of ballot pa declaring results, packaging and storing voting materials, and reporting on electoral conduct.	apers to electors, counting,
1996	Electoral district results	Until issue of writ for next
	Records relating to the counting, recording and notifying of results of elections and referenda for each electoral district.	electoral event.
	Records may include, but are not limited to:	
	 statements of preliminary and official vote counts, including first and other preference votes for candidates, and numbers of informal ballot papers for an election 	

Disposal Authorisation	Description of records	Retention period & trigger
	 statements of the number of yes votes, no votes and informal ballot papers for a referendum 	
	 notices of the names of candidates elected for each electoral district 	
	 notices of the total numbers of yes votes and no votes for a referendum. 	
1997	Electronically assisted voting procedures	Until issue of writ for next
	Records relating to procedures for the use of electronically assisted voting for electoral events e.g. telephone voting to assist blind and low vision electors.	electoral event.
	Records may include, but are not limited to:	
	 written procedures for electronically assisted voting 	
	 records kept of each elector who uses electronically assisted voting 	
	 publications of the Commissioner's decision to not use electronically assisted voting. 	
	See <u>Electronic voting</u> for records relating to research and development in the use of electronically assisted voting.	
1998	Postal voting & electoral visitor voting	Until issue of writ for next
	Records relating to arrangements for postal voting and electoral visitor voting for electoral events. Records may include, but are not limited to:	electoral event.
	 requests for postal votes or electoral visitor votes from electors 	
	 written instructions on how to cast a postal vote 	
	 records of all postal voters and electoral visitor voters for an electoral event. 	
1999	Returning Officer & polling staff reports	Until completion of
	Records relating to reports from Returning Officers and polling staff on the conduct of electoral events.	subsequent electoral event
	Records may include, but are not limited to:	
	 records kept of all persons issued ballot papers and declaration envelopes for an electoral event 	
	 records kept of objections by scrutineers to the entitlement of a person to vote 	
	 certifications that declaration envelopes have been signed by electors concerned 	

Disposal Authorisation	Description of records	Retention period & trigger
	declaration forms from electors for replacement ballot papers	
	 records of each person to whom replacement ballot papers are given 	
	 reconciliation statements for all ballot papers given out and all votes put into ballot boxes at a polling booth 	
	 notices of all persons permitted to vote at an election whose names were not on the voters roll, apparently because of official error. 	
2000	Local Government election voting materials	Until the next quadrennial
	Voting materials resulting from Local Government elections required to be kept in accordance with the Local Government Electoral Act 2011.	election after notice of the final result is given.
	Records may include, but are not limited to:	
	formal and informal used ballot papers	
	 declaration envelopes (accepted and rejected) 	
	 electors' declarations that have been removed from declaration envelopes 	
	 defaced ballot papers and remains of destroyed ballot papers 	
	 books and papers (other than the voters roll) of each presiding officer used in the poll 	
	 signed endorsements of parcels, and packets of parcels, of election materials. 	
2001	Local Government election unused ballot papers	Until notice of the final
	Unused ballot papers for Local Government elections to be stored and disposed of in accordance with the Local Government Electoral Act 2011.	result of the poll for the election.
2002	State elections voting materials	Until day of issue of the writ
	Voting materials resulting from State Government elections required to be kept in accordance with the <i>Electoral Act 1992.</i>	for the next general election.
	Records may include, but are not limited to:	
	 ballot papers showing a mark by an elector for the election 	
	certified copies of electoral rolls	
	 declaration envelopes (opened and unopened). 	

Disposal Authorisation	Description of records	Retention period & trigger
2003	Referenda voting materials Voting materials resulting from State Government referenda required to be kept in accordance with the <i>Referendums Act 1997</i> .	2 years after the return of the writ to the Governor.
	 Records may include, but are not limited to: ballot papers showing a mark by an elector for the referendum certified copies of electoral rolls declaration envelopes (opened and unopened). 	

ELECTORAL RESEARCH & EDUCATION

Researching and raising awareness of electoral processes and administration in Queensland.

See the <u>General retention and disposal schedule</u> (GRDS) for records relating to community awareness, marketing and advertising.

Disposal Authorisation	Description of records	Retention period & trigger
RESEARCH		
Performing res	search into electoral matters such as the development of alternative voting systems.	
2004	Electronic voting	Permanent.
	Records relating to research and development in the use of electronic voting systems such as eAssist, telephone voting and online voting.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 recommendations from auditors about electronically assisted technology 	
	 reviews and investigations into the use of electronically assisted technology for electoral events 	
	 reports about electronically assisted voting provided to the Minister. 	
	See <u>Electronically assisted voting procedures</u> for the use of electronically assisted voting for electoral events.	
REVIEWS		,
Reviewing elec	ctoral administration in Queensland and other jurisdictions.	
	Retention and Disposal Schedule for records related to reviews of internal operations of the ECQ and backgro st in the finalisation of reviews	und research and working
2005	Electoral administration reviews – Queensland	Permanent.
	Records relating to reviews of electoral administration in Queensland. Including reviews conducted by the Commission after the completion of each electoral event and other external bodies.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	final evaluation report	

Disposal Authorisation	Description of records	Retention period & trigger
	final statistical returns booklets. See the Construct retartion and diagonal ashedula (CRDS) for records relating to the Appual Papart	
2006	 See the <u>General retention and disposal schedule</u> (GRDS) for records relating to the Annual Report. Electoral administration reviews – other jurisdictions Records relating to reviews of electoral administration in other jurisdictions. Records may include, but are not limited to: annual reports evaluations and parliamentary reviews received from interstate electoral commissions. 	5 years after business action completed or until reference ceases, whichever is longer.

ELECTORAL ROLL MANAGEMENT

Managing the electoral roll and the enrolment of electors in accordance with the Electoral Act 1992 and the Local Government Electoral Act 2011.

Disposal Authorisation	Description of records	Retention period & trigger
ACCESSIBILI	ry	
Producing, dist	tributing and providing access to electoral roll information including copies of the electoral roll in printed	l and non-printed formats.
	<u>al retention and disposal schedule</u> (GRDS) for records relating to the printing of electoral rolls, fees for ies or complaints concerning electoral rolls and enrolment.	distribution of electoral rolls, and
2007	Accessibility of electoral roll information	4 years after business
	Records relating to the production, distribution and availability of electoral roll information.	action completed.
	Records may include, but are not limited to:	
	enrolment confirmation letters	
	 records relating to the distribution of copies of the electoral roll 	
	requests for searches of the electoral roll.	
	See <u>Electoral rolls</u> for the master set of electoral rolls.	
ENROLMENT		
•	ectors' details on the electoral roll including liaison with the Australian Electoral Commission (AEC) in re Includes the adding, maintaining and removing of electors to and from the electoral roll.	elation to the maintenance of joint
	al retention and disposal schedule (GRDS) for records relating to agreements between the Commissio AEC) to maintain a joint electoral roll between the Commonwealth and Queensland.	on and the Australian Electoral
2008	Electoral rolls	Permanent.
	Master set of electoral rolls for each State electoral district.	Transfer to QSA after
	The Electoral roll contains:	business action completed
	surname and given name, address	
	 number of persons entitled to be enrolled for the electoral district. 	

Disposal Authorisation	Description of records	Retention period & trigger
	See <u>State elections voting materials</u> and <u>Referenda voting materials</u> for certified copies of the electoral roll used for electoral and referenda events.	
2009	Enrolment of MPs in other electoral districts	Permanent.
	Records relating to the enrolment of Members of Parliament (MPs) in State electoral districts other than the districts in which they live in accordance with the <i>Electoral Act 1992</i> .	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 applications for transfer of enrolment received from MPs 	
	 requests to the AEC to action enrolment transfers. 	
2010	Enrolment information from other Government entities	2 years after business
	Records relating to the receipt of enrolment information by the Commission from other government entities.	action completed.
	Records may include, but are not limited to:	
	 requests to other government entities for enrolment information, such as the surname, given names, address, sex, occupation and date of birth of persons entitled to be enrolled 	
	 requests to the chief executive (Corrective Services) for information about persons who are serving sentences of imprisonment 	
	 enrolment information received from other government entities and the chief executive (Corrective Services). 	
2011	Australian Electoral Commission (AEC) forms	Transfer to the Australian
	Enrolment forms that are received by the Commission and forwarded to the Australian Electoral Commission (AEC) for processing.	Electoral Commission (AEC).
	Records may include, but are not limited to:	
	applications for enrolment	
	 notifications of change to electors' enrolment details 	
	notifications of death of electors	

Disposal Authorisation	Description of records	Retention period & trigger
	objections against the enrolment of a person	
	 requests for removal of electors from the electoral roll 	
	 requests to be enrolled as a silent elector. 	
2012	Continuous roll update activities	Until completion of
	Records relating to continuous roll update (CRU) activities undertaken by the Australian Electoral Commission (AEC).	subsequent electoral event.
	Records may include, but are not limited to:	
	 monthly reports received from the AEC about CRU activities concerning additions or amendments to the Queensland electoral roll. 	
2013	General postal voters advice from Australian Electoral Commission (AEC)	Until completion of
	Records relating to the provision of advice from the AEC to the Commission on electors enrolled as general postal voters in accordance with the <i>Commonwealth Electoral Act 1918</i> .	subsequent electoral event
	Records may include, but are not limited to:	
	 requests to the AEC for general postal voter data 	
	 subsequent reports received by the Commission. 	
2014	State only enrolment	Until completion of
	Records relating to the enrolment of 'State Only' electors in accordance with the Electoral Act 1992.	subsequent electoral event
	Records may include, but are not limited to:	
	 reports on 'State Only' electors received from the AEC for each electoral event. 	
2015	Enrolment irregularities	Until Gazettal of next State
	Records relating to irregularities of electors' enrolment details such as:	redistribution or Local
	 assignment to incorrect State electorates or Local Government areas 	Government Boundary review, whichever occurs
	duplicate enrolments	first.
	 incorrect personal or address details. 	

Disposal Authorisation	Description of records	Retention period & trigger
	Records may include, but are not limited to, correspondence regarding electoral roll errors or inconsistencies with:	
	electors	
	• the AEC.	
2016	Register of special postal voters	Until completion of
	Register of special postal voters which is to be kept in accordance with the <i>Electoral Act 1992</i> and the <i>Local Government Electoral Act 2011</i> .	subsequent review of Register of special postal voters.
	Records may include, but are not limited to:	
	 applications from electors to register as a special postal voter 	
	 records relating to reviews of the Register of special postal voters. 	
REPORTING		
Reporting elect	toral roll information in accordance with the Electoral Act 1992.	
2017	Gazettal of enrolment figures	Until completion of next State redistribution.
	Records relating to the monthly publication of enrolment figures in the Queensland Government Gazette in accordance with the <i>Electoral Act 1992</i> .	
	Records may include, but are not limited to:	
	 reports of enrolment figures received from the AEC. 	

FUNDING AND DISCLOSURE MANAGEMENT

Managing claims for electoral funding and the disclosure of donations and electoral expenditure by associated entities, broadcasters, candidates, donors, publishers, registered political parties and third parties.

Disposal Authorisation	Description of records	Retention period & trigger
AGENT REGIS	STRATION	
• • •	ents for registered political parties, candidates and groups of candidates for the purposes of lodging claims f rns for donations and electoral expenditure.	or election expenses and
2018	Register of agents for registered political parties	Permanent.
	Register of agents for registered political parties which is to be kept in accordance with the <i>Electoral Act 1992</i> .	Transfer to QSA after business action completed
	The register includes:	
	 names and addresses of every person appointed to be an agent of a registered political party for election funding and financial disclosure. 	
2019	Notifications	1 year after appointment is
	Records relating to notifications received by the Commission in regards to agents for registered political parties, candidates and groups of candidates.	resigned, revoked or ceases.
	Records may include, but are not limited to:	
	 notices of appointment of an agent 	
	 notices that a person has resigned the appointment as agent 	
	 notices of the death of an agent 	
	 notices that a person has ceased to be an agent 	
	 notices revoking the appointment of an agent. 	
2020	Register of agents for candidates and groups of candidates	Until completion of
	Register of agents for candidates and groups of candidates which is to be kept in accordance with the <i>Electoral Act 1992</i> and the <i>Local Government Electoral Act 2011</i> .	subsequent election.
	The register includes:	

Disposal Authorisation	Description of records	Retention period & trigger
	 names and addresses of every person appointed to be an agent of a candidate or a group of candidates in an election. 	
CLAIMS MAN	AGEMENT	·
Receiving and	settling claims for reimbursement of electoral expenses.	
2021	Claims	Permanent.
	Records relating to the settlement of claims from candidates and registered political parties for election expenses incurred during an electoral event.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	claims for election funding, and supporting documentation	
	 records relating to payment directions specifying that election funding should be paid to the registered political party that endorsed a candidate 	
	 records relating to the Commission's decision-making on whether to accept, refuse or vary a claim, and subsequent notification of the decision 	
	records relating to amendments to claims.	
	See the <u>General retention and disposal schedule</u> (GRDS) for financial records relating to the payment of claims.	
COMPLIANCE	MANAGEMENT	1
	liance with election funding and financial disclosure requirements and managing apparent contraventions to 992, the Referendums Act 1997 and the Local Government Electoral Act 2011.	the legislation under the
2022	Inspections – significant	25 years after business
	Records relating to the conduct of significant inspections for compliance with funding and financial disclosure requirements of the <i>Electoral Act 1992</i> , the <i>Referendums Act 1997</i> and the <i>Local Government Electoral Act 2011</i> .	action completed.
	Significant inspections may be determined by a number of factors. Significant inspections are those that:	
	 influence or make substantial changes to government policy or direction 	

Disposal Authorisation	Description of records	Retention period & trigger
	result in a significant government project or program	
	 make a significant contribution to the body of knowledge on a particular subject or are precedent setting 	
	 have considerable economic impact (e.g. major government contracts, corporatisation of government assets) 	
	cause public reaction or sensitivity	
	 have a serious impact or consequence (e.g. deaths, a large case). Records may include, but are not limited to: 	
	 acknowledgments of consent to entry to a place by authorised officers records relating to warrants for entry issued by a magistrate to authorised officers copies of, or extracts taken from, documents by authorised officers 	
	 images or writings produced from electronic documents by authorised officers records relating to forfeiture or seizure of a thing by authorised officers 	
	 notices requiring information to be given in relation to an offence, and subsequent information received by authorised officers 	
	 records relating to damage caused in the exercise of an authorised officer's powers 	
	 written consent for an authorised officer to disclose confidential information 	
	 records relating to internal reviews to appeal decisions made by authorised officers. 	
2023	Inspections – other	7 years after business
	Records relating to the conduct of other inspections for compliance with funding and financial disclosure requirements.	action completed.
	Records may include, but are not limited to:	
	 acknowledgments of consent to entry to a place by authorised officers 	
	 records relating to warrants for entry issued by a magistrate to authorised officers 	
	 copies of, or extracts taken from, documents by authorised officers 	
	 images or writings produced from electronic documents by authorised officers 	
	 records relating to forfeiture or seizure of a thing by authorised officers 	

Disposal Authorisation	Description of records	Retention period & trigger
	 notices requiring information to be given in relation to an offence, and subsequent information received by authorised officers 	
	 records relating to damage caused in the exercise of an authorised officer's powers 	
	 written consent for an authorised officer to disclose confidential information 	
	 records relating to internal reviews to appeal decisions made by authorised officers. 	
2024	Authorised officers	7 years after appointment
	Records relating to the appointment of authorised officers for the purpose of dealing with compliance issues relating to election funding and financial disclosure.	ceases.
	Records may include, but are not limited to:	
	 instruments of appointment of authorised officers 	
	 notices of conditions of appointment and limits of authorised officers' powers 	
	 signed notices of resignation received from authorised officers. 	
POLICY DEVE		1
Managing polic	cy development payments for registered political parties.	
2025	Policy development payments	7 years after business
	Records relating to the management of policy development payments for registered political parties in accordance with the <i>Electoral Act 1992</i> .	action completed.
	Records may include, but are not limited to:	
	 requests that no policy development payment is to be made to a political party 	
	notices withdrawing requests that no policy development payment is to be made to a political party	
	 applications for the Commission to reconsider a decision about a policy development payment, and subsequent notification of the reconsideration decision. 	
	See the <u>General retention and disposal schedule</u> (GRDS) for financial records relating to the payment of policy development payments.	

Disposal Authorisation	Description of records	Retention period & trigger
RETURNS MA	NAGEMENT	·
•••	losure returns submitted to the Commission in relation to donations and electoral expenditure by associated e nors, publishers, registered political parties and third parties.	entities, broadcasters,
See the <u>Gener</u>	al retention and disposal schedule (GRDS) for records relating to general enquires concerning disclosure ret	urns.
2026	Electronic Disclosure System [EDS]	Permanent.
	Summary records of biannual returns and disclosures of political contributions and gifts received and electoral expenditure incurred by candidates, groups, political parties and donors at State and Local Government elections. System includes electronic applications utilised by the organisation to publish disclosure reports to the Internet.	Transfer to QSA after business action completed.
	Excludes submission of funding claims.	
	See Claims Management for claims for funding	
2027	Biannual returns	50 years after business
	Biannual returns submitted to the Commission in accordance with the Electoral Act 1992.	action completed.
	Records may include, but are not limited to:	
	biannual returns from third parties	
	 biannual returns from agents of registered political parties 	
	 biannual returns from financial controllers of associated entities 	
	statements about returned gifts	
	 records relating to amendments to returns 	
	 records relating to audit certificates for returns 	
	 copies of notices given by registered political parties to third parties alerting them of their requirement to lodge a return under the <i>Electoral Act 1992</i>. 	
2028	Local Government election disclosure returns	50 years after business
	Disclosure returns submitted to the Commission in relation to a Local Government election in accordance with the <i>Local Government Electoral Act 2011</i> .	action completed.
	Records may include, but are not limited to:	

Disposal Authorisation	Description of records	Retention period & trigger
	returns from candidates	
	 returns from agents of groups of candidates 	
	 returns from third parties 	
	 records relating to amendments to returns 	
	 reminder notices from the Commission to candidates or agents of groups of candidates who are required to give a return. 	
2029	State elections & referenda disclosure returns	50 years after business
	Disclosure returns submitted to the Commission in relation to a State electoral or referenda event in accordance with the <i>Electoral Act 1992</i> and the <i>Referendums Act 1997</i> .	action completed.
	Records may include, but are not limited to:	
	 returns from agents of candidates 	
	returns from third parties	
	returns from broadcasters	
	returns from publishers	
	 records relating to amendments to returns 	
	 records relating to audit certificates for returns. 	
2030	Local Government electoral gifts register	50 years after business
	Gifts register which is to be kept in accordance with the Local Government Electoral Act 2011.	action completed.
	Records may include, but are not limited to:	
	• all returns given to the Commission under pt.6 of the Local Government Electoral Act 2011	
	 applications to amend returns to correct an error or omission 	
	 particulars of the dates and times of amendments to returns 	
	 notices from persons who suspect or believe a return has an error or omission 	
	 notices of a person's suspicion or belief that a return has an error or omission, given to the person who lodged the return 	
	 statutory declarations that particulars in a return are a true record of fact 	

Disposal Authorisation	Description of records	Retention period & trigger
	notices to persons requiring particulars to complete a return to be provided to the Commission	
	 notices of information or particulars obtained by a person in relation to an incomplete return. 	
2031	Special reporting events	50 years after business
	Disclosure returns submitted to the Commission in relation to large gifts made in a special reporting period by entities (other than registered political parties, associated entities or candidates in an election) to a registered political party or an associated entity of a registered political party in accordance with the <i>Electoral Act 1992</i> .	action completed.
	Records may include, but are not limited to, returns from:	
	entities that made the gifts	
	 registered political parties to which gifts were made 	
	 associated entities of registered political parties to which gifts were made. 	
2032	Incomplete disclosure returns	5 years after business
	Records relating to the processing of incomplete disclosure returns submitted to the Commission in accordance with the <i>Electoral Act 1992</i> and the <i>Local Government Electoral Act 2011</i> .	action completed.
	Records may include, but are not limited to:	
	notices of incomplete returns	
	 notices to persons requiring particulars to complete a return to be provided to the Commission 	
	 notices of information or particulars obtained by a person that are required to complete a return 	
	 notices from persons who are unable to provide the particulars required to complete a return 	
	 applications for an extension of the day by which returns must be lodged. 	

INDUSTRIAL ELECTION MANAGEMENT

Conducting elections for industrial organisations, proposed amalgamation or withdrawal ballots, and protected action ballots in accordance with the Industrial Relations Act 1999 and the Industrial Relations Regulation 2011.

See <u>ELECTORAL EVENT MANAGEMENT</u> for records relating to electoral events for State and Local Government elections, by-elections and referenda.

Disposal Authorisation	Description of records	Retention period & trigge
ADMINISTRAT	IVE ARRANGEMENTS & PLANNING FOR INDUSTRIAL ELECTIONS OR BALLOTS	
	performing administrative arrangements for the conduct of industrial elections, proposed amalgamation or wit Includes liaison with employers, employees, candidates, organisations and the Queensland Industrial Relation	
2033	Administrative arrangements	5 years after business
	Records relating to the administrative arrangements and preparations for the conduct of industrial elections or ballots.	action completed.
	Records may include, but are not limited to:	
	 timetables and notices issued in relation to an election or ballot 	
	 records relating to nomination of candidates 	
	notices of appointment of scrutineers	
	 notices of appointment of an electoral officer as manager of an election or ballot 	
	 notices of applications for a ballot 	
	 notices of the Queensland Industrial Relations Commission (QIRC)'s approval of a ballot 	
	 copies of ballot documents received by the Commission and provided to voters, including ballot applications and schemes or scheme outlines 	
	 copies of protected action ballot orders from the Queensland Industrial Relations Commission (QIRC). 	

The activity of managing the Commission's involvement in industrial elections, proposed amalgamation or withdrawal ballots, or protected action ballots where the conduct or the results of the election or ballot are challenged.

Disposal Authorisation	Description of records	Retention period & trigger
2034	Disputes	Permanent.
	Records relating to industrial elections or ballots where the conduct of, or challenges to, the result of the election or ballot have resulted in court proceedings.	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	correspondence	
	administration records	
	nominations	
	voters rolls.	
REPORTING		·
Reporting abou	ut the conduct and results of industrial elections, proposed amalgamation or withdrawal ballots, and protected	d action ballots.
2035	Protected action ballot conduct reports	5 years after business
	Records relating to reporting on the conduct of protected action ballots in accordance with the <i>Industrial Relations Act 1999</i> .	action completed.
	Records may include, but are not limited to:	
	complaints	
	 reports provided to the Queensland Industrial Relations Commission about the conduct of protected action ballots. 	
2036	Results	5 years after business
	Records relating to the recording, notifying and reporting of results of industrial elections and ballots.	action completed.
	Records may include, but are not limited to:	
	 records of the number of formal votes for an election or ballot 	
	declarations of results for an election or ballot	
	election or ballot result reports	
	 records relating to the notification of results and distribution of result reports. 	

Disposal Authorisation	Description of records	Retention period & trigger
VOTING		
Regulating voti	ing in industrial elections and ballots.	
2037	Industrial elections & proposed amalgamation or withdrawal ballots voters rolls	5 years after business action completed.
	Records relating to the preparation of the voters roll used by the Commission in the conduct of industrial elections and proposed amalgamation or withdrawal ballots.	action completed.
	Records may include, but are not limited to:	
	rolls of voters for industrial elections or proposed amalgamation or withdrawal ballots	
	 copies of organisations' member registers 	
	 records relating to the distribution of copies of the roll or parts of the roll 	
	 applications to have a member's name included on the roll. 	
	See <u>Protected action ballots and voters roll</u> for records relating to the voters roll used in the conduct of protected action ballots.	
2038	Industrial elections ballots	1 year after completion of
	Ballot records resulting from industrial elections required to be kept in accordance with the <i>Industrial Relations Act 1999</i> .	the election.
	Records may include, but are not limited to:	
	ballot papers	
	 ballot envelopes and voting declarations 	
	 materials to accompany ballot papers, such as voting directions 	
	 notices from voters for voting material to be posted to an address other than the address stated on the roll 	
	 records relating to applications for duplicates of ballot documents 	
	 return envelopes received after the finishing day of an election. 	

Disposal Authorisation	Description of records	Retention period & trigger
2039	Proposed amalgamation or withdrawal ballots	1 year after completion of
	Ballot records resulting from proposed amalgamation or withdrawal ballots required to be kept in accordance with the Industrial Relations Regulation 2011.	the ballot.
	Records may include, but are not limited to:	
	ballot papers	
	 ballot envelopes and voting declarations 	
	 materials to accompany ballot papers, such as voting directions 	
	 copies of the scheme outline, each 'yes' case, each 'no' case, and any amendments to these documents 	
	 statements telling voters where they may obtain a copy of the latest version of the scheme 	
	 notices from voters for voting material to be posted to an address other than the address stated on the roll 	
	 records relating to applications for duplicates of ballot documents 	
	 return envelopes received after the finishing day of a ballot. 	
2040	Protected action ballots and voters roll	1 year after completion of
	Ballot and voters roll records resulting from protected action ballots required to be kept in accordance with the <i>Industrial Relations Act 1999.</i>	the election.
	Records may include, but are not limited to:	
	rolls of voters for protected action ballots	
	 information used to compile the roll, such as employee lists 	
	ballot papers	
	 ballot envelopes and voting declarations 	
	 materials to accompany ballot papers, such as voting directions 	
	 records relating to requests for replacement ballot papers. 	
2041	Union rules	Until superseded.
	Rules of unions used by the Commission in the conduct of industrial elections.	

POLITICAL PARTY REGULATION

Registering political parties for electoral events and performing investigations/audits of political party preselection ballots.

Disposal Authorisation	Description of records	Retention period & trigger
PRESELECTIO	ON BALLOT INTEGRITY MANAGEMENT	
Investigating a	nd auditing preselection ballots conducted by registered political parties for the selection of candidates.	
2042	Audits	2 years after business
	Records relating to audits conducted by the Commission into preselection ballots of candidates of registered political parties in accordance with the <i>Electoral Act 1992</i> .	action completed.
	Records may include, but are not limited to:	
	results of the random draw by the Commission to determine the preselection ballots to be audited	
	 notices that a preselection ballot is to be audited, given to the political party's registered officer certifications from the registered officer that each person listed on the party membership list who voted in the ballot was eligible to vote 	
	 reports on audits of preselection ballots, provided to the Minister. 	
2043	Investigations	2 years after business
	Records relating to investigations conducted by the Commission into preselection ballots of candidates of registered political parties in accordance with the <i>Electoral Act 1992</i> .	action completed.
	Records may include, but are not limited to:	
	 complaints received by the Commission about the conduct of a preselection ballot 	
	 certifications from the registered officer that each person listed on the party membership list at the time of the ballot was, or is, eligible to vote 	
	 reports on investigations of preselection ballots, provided to the Minister. 	
2044	Complaints not investigated	2 years after business
	Records relating to complaints concerning preselection ballots that are not investigated by the Commission in accordance with the <i>Electoral Act 1992</i> .	action completed.
	Records may include, but are not limited to:	

Disposal Authorisation	Description of records	Retention period & trigger
	 complaints received by the Commission about the conduct of a preselection ballot 	
	 notices to complainants that their complaints will not be investigated. 	
2045	Notifications	2 years after business
	Notices of preselection ballots lodged with the Commission by the registered officer of a political party.	action completed.
	Records may include, but are not limited to:	
	 notices of when a preselection ballot is to be held 	
	 notices about whether the selection of a candidate involved a preselection ballot. 	
2046	Membership lists & party records	Until conclusion of audit or
	Membership lists of political parties and copies of party records used during an investigation or audit of a preselection ballot of candidates in accordance with the <i>Electoral Act 1992</i> .	investigation process and then return to political party.
	See <u>Membership lists</u> for lists used to verify the eligibility of political parties for registration.	
REGISTRATIC	DN	
Registering po	litical parties for electoral events in accordance with the Electoral Act 1992.	
	<u>al retention and disposal schedule</u> (GRDS) for records relating to publications and general enquires/complair for the registration of political parties.	nts concerning the
2047	Register of political parties	Permanent.
	Records relating to the Register of political parties which is to be kept in accordance with the <i>Electoral Act 1992</i> .	Transfer to QSA after business action completed.
	Records may include, but are not limited to:	
	 names and abbreviations of political parties 	
	names and addresses of registered officers	
	 names of party members who are members of the Legislative Assembly 	
	copies of parties' constitutions	
	 records relating to application for registration, both successful and unsuccessful 	

Disposal Authorisation	Description of records	Retention period & trigger
	notices of nomination or revocation of registered officers' deputies	
	notices of registration	
	 records relating to cancellation of registration. 	
2048	Quarterly reports	4 years after business
	Quarterly reports notifying whether or not an amendment has been made to a registered political party's constitution, including a summary of any amendments.	action completed.
	See <u>Register of political parties</u> for copies of amended constitutions provided to the Commission as part of the quarterly reports.	
2049	Registration amendments	3 years after business
	Records relating to applications for amendment of the Register of political parties in accordance with the <i>Electoral Act 1992.</i>	action completed.
	Records may include, but are not limited to:	
	applications to amend the Register	
	 notices published in relation to applications to amend the Register 	
	 records relating to objections against applications to amend the Register. 	
2050	Reviews of the Register of political parties	3 years after completion of
	Records relating to triennial reviews conducted by the Commission into registered political parties to ensure they continue to meet the eligibility criteria for registration.	review.
	Records may include, but are not limited to:	
	certifications of membership by Members of Parliament for parliamentary parties	
	confirmations of the accuracy of party office holders' details.	
	See <u>Membership lists</u> for lists provided to the Commission for the purposes of the review.	

Disposal Authorisation	Description of records	Retention period & trigger
2051	 Membership lists Membership lists of political parties used to verify eligibility for registration. Includes lists of names and addresses of 500 members of a political party who are electors, provided to the Commission as part of: applications for registration, in accordance with the <i>Electoral Act 1992</i> reviews of the Register of political parties by the Commission. 	Until eligibility for registration is determined and then return to political party.
	See <u>Membership lists & party records</u> for lists used during an investigation or audit of a preselection ballot of candidates.	

LEGACY RECORDS

Covers legacy records of the Electoral Commission of Queensland.

Disposal Authorisation	Description of records	Date range	Retention period & trigger
ELECTORAL	COMMISSION OF QUEENSLAND		
Records previ	ously covered by QDAN497 v. 2 that are not covered elsewhere in this sch	edule or the <u>General reter</u>	ntion and disposal schedule (GRDS).
2052	Queensland State Electoral Rolls	1983 and 1992	Permanent.
	Queensland State electoral roll microfiche dated 6 September 1983 and 2 April 1992.		Transfer to QSA after business action completed.
2053	Joint Enrolment Forms/Acknowledgement Cards Records relating to joint enrolment forms and acknowledgment cards produced by the Australian Electoral Commission, including amendments.	1992 – 2004	60 years after business action completed.